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Attorney Docket No.: 19744P-000300

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COPY OF PAPERS ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL A. EVANS et al.

Application No.: 09/388,294

Filed: September 1, 1999

For: METHODS AND APPARATUS

FOR ACCESSING AND

TREATING BODY LUMENS

Examiner:

Unassigned

Art Unit:

3732

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Attorney Docket No.: 19744P000300 Application No.: 09/388,294 FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR Applicant: MICHAEL A. EVANS et al. APPLICANT'S INFORMATION SISPEROSURE Filing Date: September 1, 1999 Group: 3732 STATEMENT (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** Page 1 Reference Designation Sub-class Filing Date Examiner Initial Document No. Date Name Class (If Appropriate) 4,621,636 11/11/1986 **Forgarty** Α 4,754,752 07/05/1988 Ginsburg et al. В C 4,769,005 09/06/1988 Ginsburg et al. 12/13/1988 Ginsburg et al. D 4,790,310 5.011.488 04/30/1991 Ginsburg Ε 5,287,861 02/22/1994 Wilk F Wilk 5,409,019 04/25/1995 G 05/09/1995 Η 5,413,581 Goy 5,429,144 07/04/1995 Wilk I Venbrux 08/22/1995 5,443,497 J K 5,464,395 11/07/1995 Faxon et al. 4,873,978 10/17/1989 Ginsburg L Trerotola M 5,766,191 06/16/1998 N 5,824,040 10/20/1998 Cox et al. 05/04/1999 Claren et al. 0 5,899,909 FOREIGN PATENT DOCUMENTS Document No. Date Country Class Sub-class Translation (Yes/No) P WO 97/13463 04/17/1997 **PCT** 04/17/1997 **PCT** Q WO 97/13471 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **DATE CONSIDERED EXAMINER**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.